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FED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/938.092

NEW YORK, NY 100368403

OSTROLENK FABER GERB & SOFFEN

1180 AVENUE OF THE AMERICAS

08/23/2001

Wai Kwan Cheung

P/3987-3

CONFIRMATION NO. 9858

FORMALITIES LETTER

OC000000006801527

Date Mailed: 09/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/16/2002 VTRUONG1 00000111 09938092

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YOFGS File No.: P/3987-3

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MAIL DAT

In re Patent Application of Wai Kwan Cheung

Serial No.:09/938,092 Filed: August 23, 2001

For: HIGH EFFICIENCY HEAT SINK

ED Date: October 23, 2001

Group Art Unit: ---

Examiner: ---

T AND TRADEMARK OFFICE

Asst. Commissioner of Patents and Trademarks

Washington, DC 20231

Attention: Application Branch

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted, mailed September 27, 2001, submitted herewith is the Inventor(s) Declaration and our Check No. 06988 which includes the amount of \$65.00 in payment of the surcharge.

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account #15-0700.

If this communication is filed after the time period had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 C.F.R. §1.136(a), to extend the time for filing a response by the number of months which will avoid abandonment under 37 C.F.R. §1.135. The fee under 37 C.F.R. § 1.17 should be charged to our Deposit Account No. 15-0700.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on October 23, 2001

Robert C. Faber

Name of applicant, assignee or Registered Representative)

Signature

October 23, 2001
Date of Signature

Respectfully submitted,

Robert C. Faber

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